

MP/

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PIPE JCTG  
APR 29 2002  
PATENT & TRADEMARK OFFICE

Applicants: M. Clark DALE et al.

Serial No.: 10/056,063

Filing Date: January 28, 2002

For: A HIGH-SPEED, CONSECUTIVE BATCH OR CONTINUOUS, LOW  
EFFLUENT PROCESS FOR THE PRODUCTION OF ETHANOL  
FROM MOLASSES, STARCHES, OR SUGARS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION UNDER 37 C.F.R. §1.53(b)

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Attention: APPLICATION BRANCH  
MISSING PARTS OF APPLICATION

Sir:

With respect to the above-identified patent application, the following are filed herewith in response to the Notice to File Missing Parts of Nonprovisional Application under 37 C.F.R. §1.53(b), mailed February 28, 2002, copy attached.

- ☒ Declaration in compliance with 37 C.F.R. §1.63.
- ☐ Declaration in compliance with 37 C.F.R. §1.63, attached to copy of application as originally filed.
- ☐ Small Entity Declaration under 37 C.F.R. §§1.9 and 1.27.
- ☐ Certified copy of \*\* Application No. \*\*, filed \*\*, the priority of which is claimed under 35 U.S.C. §119.
- ☒ If a Petition for Extension of time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge and fees necessary under 37 CFR 1.17 (a) - (d) to Deposit Account No. 06-1358. The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1358.

Serial No.: 10/056,063

The Applicant qualifies for Small Entity status.


X Filing Fee, calculated as follows:

	Small Entity	Large Entity	
Basic Filing Fee	\$370.00	\$740.00	370.00
Total Claims <u>16</u> - 20 = 0	(x \$9) =	(x \$18) =	.00
Indep. Claims <u>1</u> - 3 = 0	(x \$42) =	(x \$84) =	.00
<u>X</u> Surcharge: Late Filing	+ \$65	+ \$130	65.00
<b>TOTAL FILING FEES</b>			<b>\$ 435.00</b>

Check No. 57986, in the amount of \$435.00, is enclosed to cover the filing fee and late filing surcharge. The Commissioner is hereby authorized to debit or credit any fees set forth in §1.16 or §1.17 to Deposit Account No. 06-1358 as needed in order to effect proper filing of the application. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By   
Irwin M. Aisenberg  
Reg. No. 19,007

400 Seventh Street, N.W.  
Washington, D.C. 20004-2201  
(202) 638-6666

Atty. Docket No.: P66143US1  
Date: April 29, 2002  
IMA/cmf



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/056,063	01/28/2002	M. Clark Dale	P66143US1

CONFIRMATION NO. 3968

## FORMALITIES LETTER



\*OC000000007549728\*

JACOBSON HOLMAN  
400 SEVENTH STREET, N. W.  
WASHINGTON, DC 20004

Date Mailed: 02/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

*Clind*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/30/2002 GGEBREGI 00000081 10056063

01 FC:201  
02 FC:205

370.00 OP  
65.00 OP